

Summer 7-10-2018

# 2018 First Quarter 2018 Compliance Report

Maine Workers' Compensation Board

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# QUARTERLY COMPLIANCE REPORT

STATE OF MAINE  
WORKERS' COMPENSATION BOARD

First Quarter 2018  
January 1, 2018 - March 31, 2018

Office of Monitoring, Audit &  
Enforcement

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**State of Maine  
Workers' Compensation Board  
Quarterly Compliance Report  
First Quarter**

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## Executive Summary

On July 10, 2018, the Maine Workers' Compensation Board of Directors approved the 2018 First Quarter (January 1, 2018 – March 31, 2018) Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-insurers, and third-party administrators (collectively “insurers”).

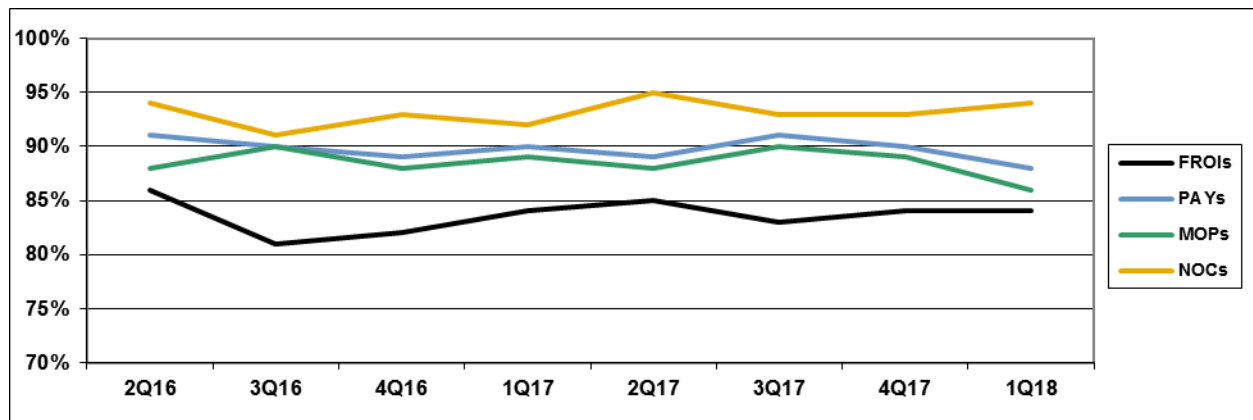
### I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 101 insurers on April 17, 2018; 64 responded, 30 were not required to respond and 9 did not respond.

The 1Q18 report represents results based upon data received by May 29, 2018. The results are:

	Number of Days	Benchmark	2Q16	3Q16	4Q16	1Q17	2Q17	3Q17	4Q17	1Q18
FROIs	7	85%	86%	81%	82%	84%	85%	83%	84%	84%
PAYs	14	87%	91%	90%	89%	90%	89%	91%	90%	88%
MOPs	17	85%	88%	90%	88%	89%	88%	90%	89%	86%
NOCs	14	90%	94%	91%	93%	92%	95%	93%	93%	94%

**Compliance Benchmark Tracking**



### II. CAVEATS & EXPLANATIONS

#### A. General

- Question marks (“?”) within this report indicate that the insurer did not provide all of the data required to measure compliance in that particular area.

#### B. Lost Time First Report of Injury (FROI) Filings

- Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day’s work.

**C. Initial Indemnity Payments (PAYs)**

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer's notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.
- If an employer continues to pay the employee's salary, payments are deemed timely for purposes of compliance if made consistent with the employer's usual payroll practice.

**D. Initial Memorandum of Payment (MOP) Filings**

- Compliance with this benchmark exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

**E. Initial Indemnity Notice of Controversy (NOC) Filings**

- Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

**III. COMPLETED AUDITS**

The Board conducts compliance audits of insurers to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

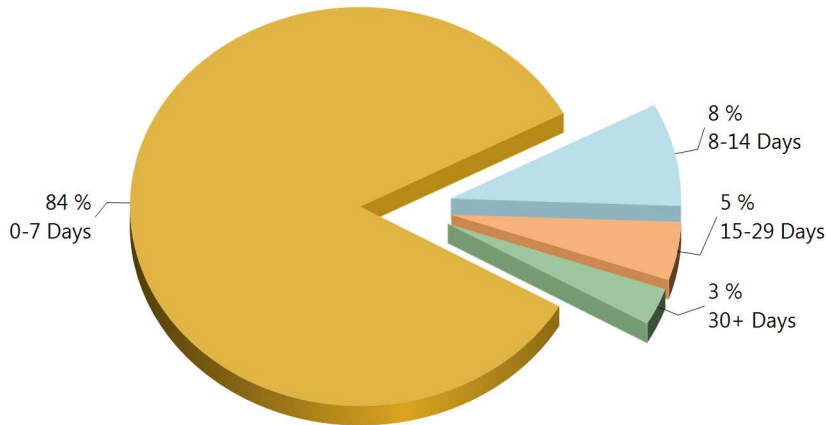
The following had an audit completed in the 1Q18:

Auditee (alpha order)	Total Penalties
American International Group, Inc.	\$7,500

**First Quarter Compliance Report  
1/1/2018 - 3/31/2018**

**LOST TIME FIRST REPORT OF INJURY FILINGS**

**Chart 1: Timeliness Distribution**

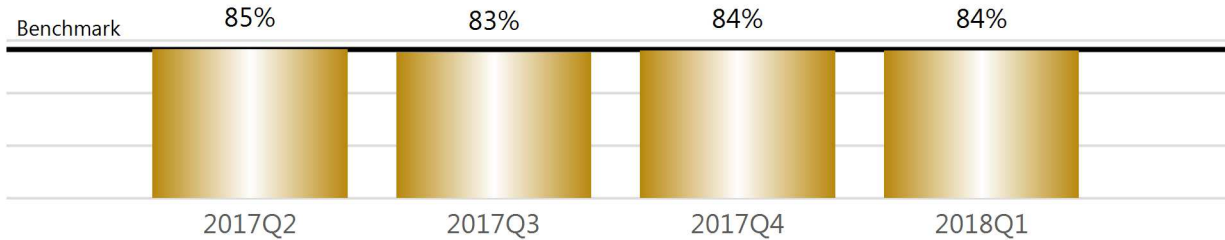


**Table 1: Received Within**

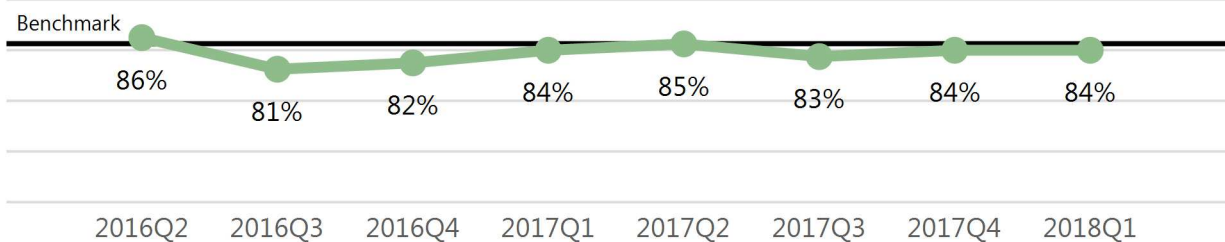
0-7 Days	3,162	84%
8-14 Days	314	8%
15-29 Days	178	5%
30+ Days	114	3%
? Days	0	0%
<b>Total</b>	<b>3,768</b>	<b>100%</b>

\*The percentages may not always add to 100% due to rounding

**Chart 2: Quarterly Compliance**



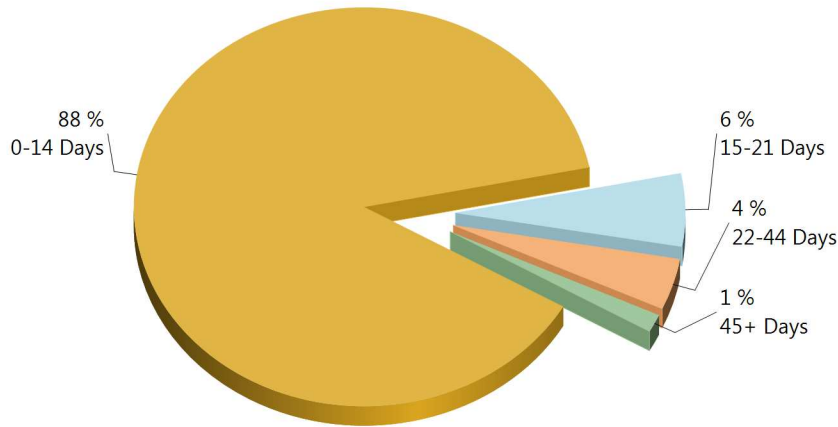
**Chart 3: Compliance Trend**



**First Quarter Compliance Report  
1/1/2018 - 3/31/2018**

## INITIAL INDEMNITY PAYMENTS

**Chart 4: Timeliness Distribution**



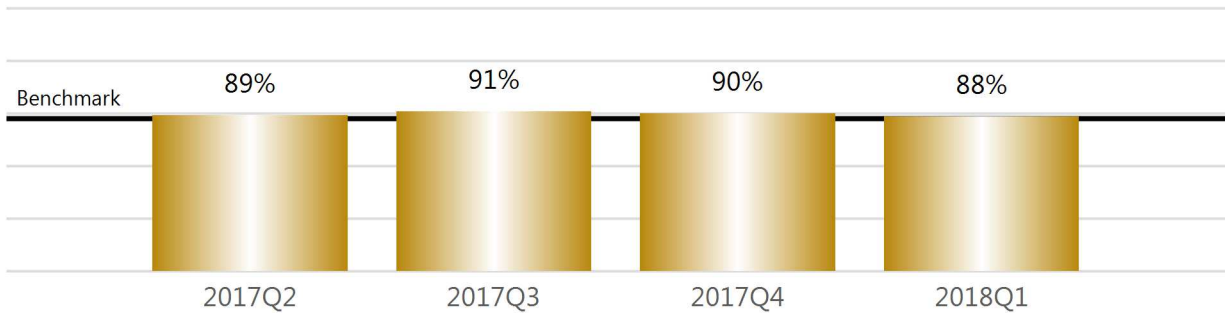
**Table 2: Made Within**

0-14 Days	914	88%
15-21 Days	61	6%
22-44 Days	43	4%
45+ Days	15	1%
? Days	0	0%
<b>Total</b>	<b>1,033</b>	<b>100%</b>

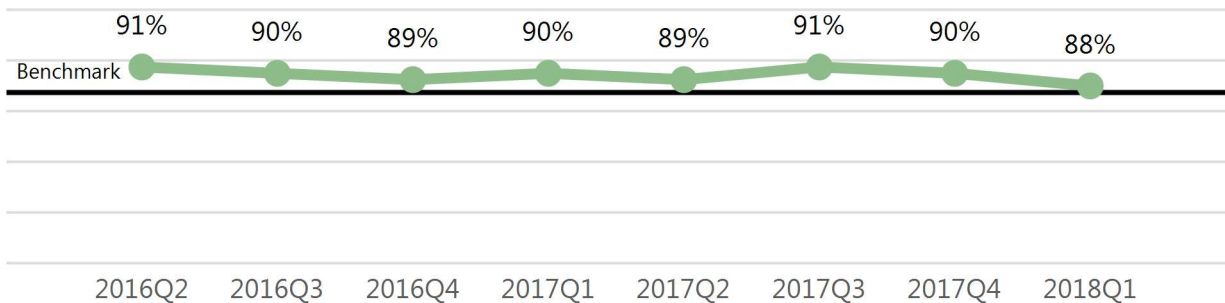
\*The percentages may not always add to 100% due to rounding

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, \$7,850 was issued to claimants in penalties and there is another \$15,700 in penalties awaiting resolution.

**Chart 5: Quarterly Compliance**



**Chart 6: Compliance Trend**



## INITIAL MEMORANDUM OF PAYMENT FILINGS

Chart 7: Timeliness Distribution

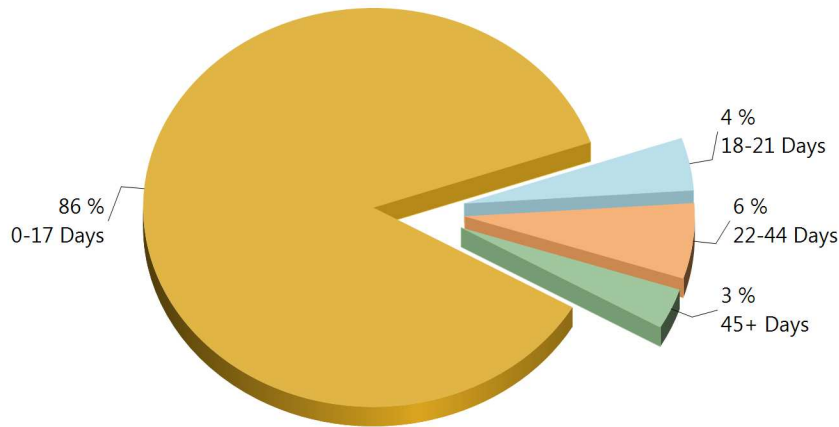


Table 3: Received Within

0-17 Days	892	86%
18-21 Days	44	4%
22-44 Days	63	6%
45+ Days	34	3%
? Days	0	0%
<b>Total</b>	<b>1,033</b>	<b>100%</b>

\*The percentages may not always add to 100% due to rounding

Chart 8: Quarterly Compliance

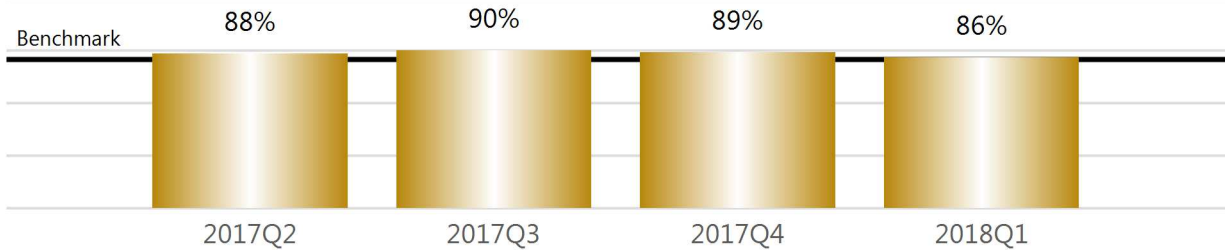
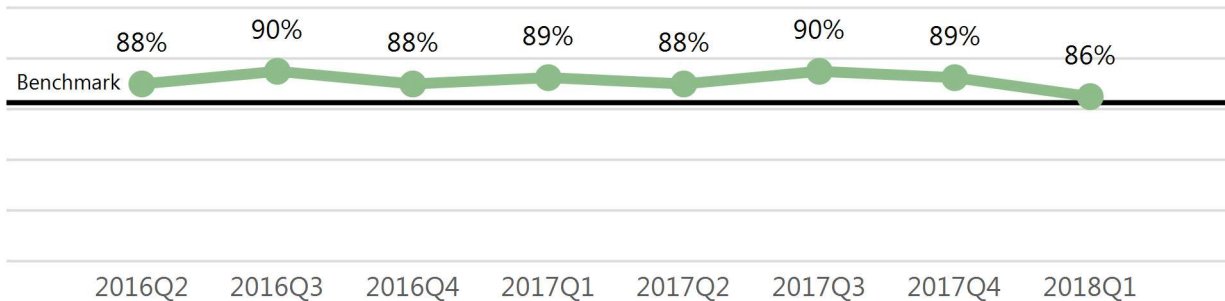


Chart 9: Compliance Trend





## INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

Chart 10: Timeliness Distribution

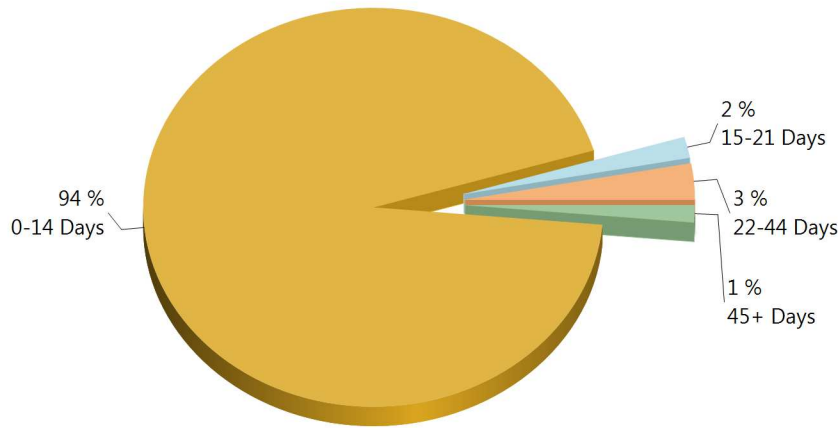


Table 4: Received Within

0-14 Days	610	94%
15-21 Days	11	2%
22-44 Days	19	3%
45+ Days	9	1%
? Days	1	0%
<b>Total</b>	<b>650</b>	<b>100%</b>

\*The percentages may not always add to 100% due to rounding

Chart 11: Quarterly Compliance

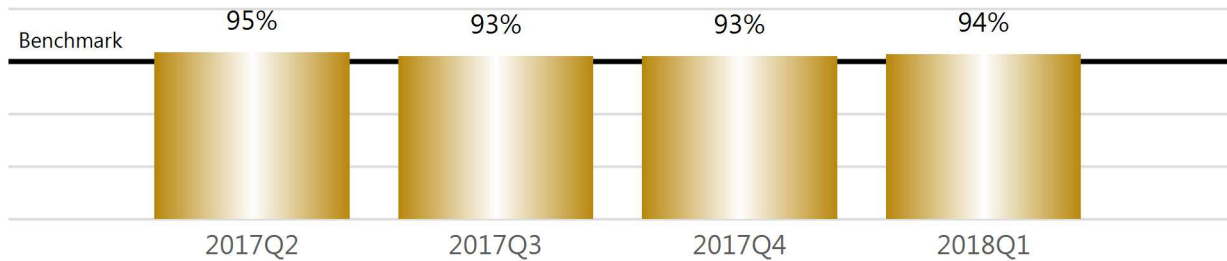
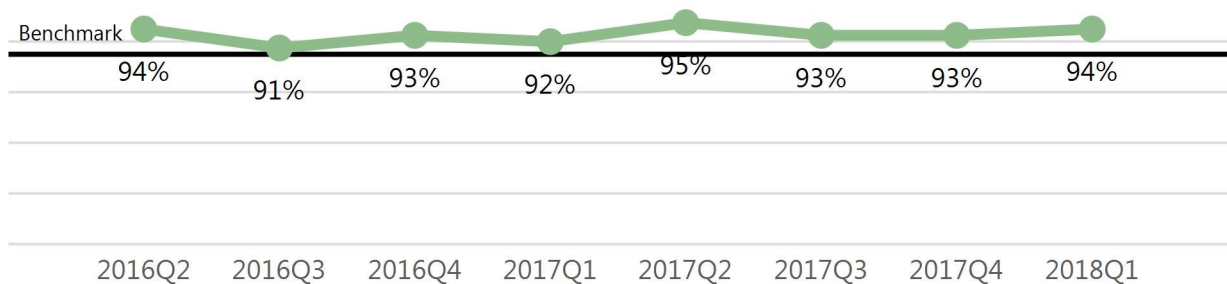


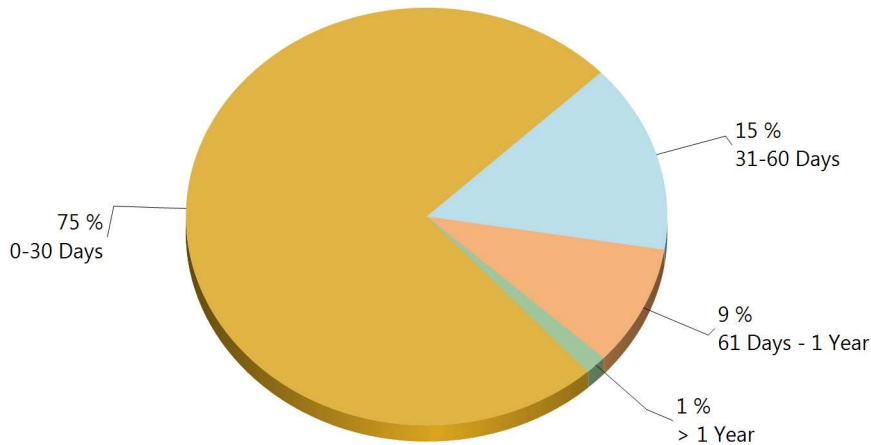
Chart 12: Compliance Trend



## WAGE INFORMATION

Wage information (WCB-2 and WCB-2B forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

**Chart 13: Wage Statement Timeliness Distribution**

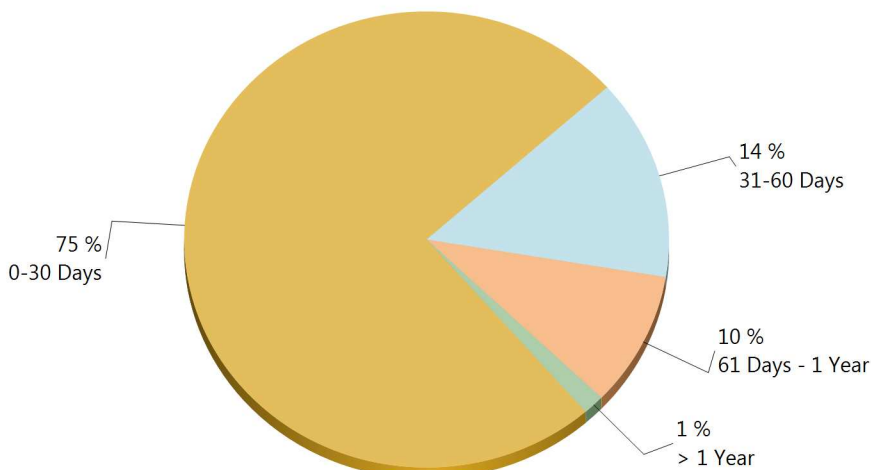


**Table 5: Wage Statements Received**

0-30 Days	1,555	75%
31-60 Days	306	15%
61 Days - 1 Year	194	9%
> 1 Year	31	1%
<b>Total</b>	<b>2,086</b>	<b>100%</b>

**Wage Statement(s):** 1,498 (70%) of the 2,144 Wage Statement(s) that were due in this quarter were filed timely, 429 (20%) were filed late, and 217 (10%) remain outstanding.

**Chart 14: Fringe Benefit Worksheet Timeliness Distribution**



**Table 6: Fringe Worksheets Received**

0-30 Days	1,519	75%
31-60 Days	290	14%
61 Days - 1 Year	194	10%
> 1 Year	29	1%
<b>Total</b>	<b>2,032</b>	<b>100%</b>

**Fringe Benefit Worksheet(s):** 1,469 (69%) of the 2,144 Fringe Benefit Worksheet(s) due this quarter were filed timely, 422 (20%) were filed late, and 253 (12%) remain outstanding.

## **Appendix A**

**Insurance Group Compliance  
Lost Time FROI Filings and  
Initial Indemnity Payments  
First Quarter 2018  
1/1/2018-3/31/2018**

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

First Quarter

1/1/2018 - 3/31/2018

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>ACADIA INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA010 ACADIA INSURANCE	83	60	72%	22	16	73%
<b>Total</b>	<b>83</b>	<b>60</b>	<b>72%</b> ▼	<b>22</b>	<b>16</b>	<b>73%</b> ▼
<b>ACADIA INSURANCE Group Total</b>	<b>83</b>	<b>60</b>	<b>72%</b> ▼	<b>22</b>	<b>16</b>	<b>73%</b> ▼
<b>ACCIDENT FUND INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
ACCIDENT FUND INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ACCIDENT FUND INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	1	0	0%	1	1	100%
<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>ACCIDENT FUND INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>ACUITY MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA418 ACUITY MUTUAL INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>ACUITY MUTUAL INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>AIG INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA015 AIG DOMESTIC CLAIMS	92	78	85%	36	30	83%
<b>Total</b>	<b>92</b>	<b>78</b>	<b>85%</b> ▲	<b>36</b>	<b>30</b>	<b>83%</b> ▼
<b>AIG INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>AIG INSURANCE Group Total</b>	<b>94</b>	<b>80</b>	<b>85%</b> ▲	<b>36</b>	<b>30</b>	<b>83%</b> ▼
<b>ALLIANZ INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
AMERICAN INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ALLIANZ INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>ALLIANZ INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

Maine Workers' Compensation Board

Lost Time FROI Filing Benchmark: 85%

Initial Indemnity Payment Benchmark: 87%

\* Indicates no claims activity

▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met

**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
First Quarter  
1/1/2018 - 3/31/2018

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>AMERISURE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA013 AMERISURE INSURANCE	*	*	*	*	*	*
AMERISURE MUTUAL INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>AMERISURE INSURANCE TPA Administered Claims</b>						
CA280 TRISTAR RISK ENTERPRISE MANAGEMENT	1	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>AMERISURE INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>AMTRUST INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA437 SECURITY NATIONAL INSURANCE	2	2	100%	No Filings	No Filings	No Filings
CA342 TECHNOLOGY INSURANCE	5	3	60%	2	2	100%
CA381 WESCO INSURANCE	10	5	50%	3	1	33%
<b>Total</b>	<b>17</b>	<b>10</b>	<b>59%</b> ▼	<b>5</b>	<b>3</b>	<b>60%</b> ▼
<b>AMTRUST INSURANCE Group Total</b>	<b>17</b>	<b>10</b>	<b>59%</b> ▼	<b>5</b>	<b>3</b>	<b>60%</b> ▼
<b>ARCH INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
ARCH INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ARCH INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	2	1	50%	1	0	0%
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	5	2	40%	6	4	67%
CA190 GALLAGHER BASSETT SERVICES	6	3	50%	2	1	50%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	14	13	93%	5	4	80%
CA340 YORK RISK SERVICES	1	0	0%	1	1	100%
<b>TPA Total</b>	<b>28</b>	<b>19</b>	<b>68%</b> ▼	<b>15</b>	<b>10</b>	<b>67%</b> ▼
<b>ARCH INSURANCE Group Total</b>	<b>28</b>	<b>19</b>	<b>68%</b> ▼	<b>15</b>	<b>10</b>	<b>67%</b> ▼
<b>BATH IRON WORKS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA036 BATH IRON WORKS	72	69	96%	12	11	92%
<b>Total</b>	<b>72</b>	<b>69</b>	<b>96%</b> ▲	<b>12</b>	<b>11</b>	<b>92%</b> ▲
<b>BATH IRON WORKS Group Total</b>	<b>72</b>	<b>69</b>	<b>96%</b> ▲	<b>12</b>	<b>11</b>	<b>92%</b> ▲
<b>BROADSPIRE SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA040 BROADSPIRE SERVICES	9	7	78%	4	3	75%
<b>Total</b>	<b>9</b>	<b>7</b>	<b>78%</b> ▼	<b>4</b>	<b>3</b>	<b>75%</b> ▼
<b>BROADSPIRE SERVICES Group Total</b>	<b>9</b>	<b>7</b>	<b>78%</b> ▼	<b>4</b>	<b>3</b>	<b>75%</b> ▼

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

First Quarter

1/1/2018 - 3/31/2018

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>CANNON COCHRAN MANAGEMENT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA070 CANNON COCHRAN MANAGEMENT SERVICES	101	78	77%	33	32	97%
<b>Total</b>	<b>101</b>	<b>78</b>	<b>77%</b> ▼	<b>33</b>	<b>32</b>	<b>97%</b> ▲
<b>CANNON COCHRAN MANAGEMENT SERVICES Group Total</b>	<b>101</b>	<b>78</b>	<b>77%</b> ▼	<b>33</b>	<b>32</b>	<b>97%</b> ▲
<b>CHEROKEE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA044 CHEROKEE INSURANCE	No Filings	No Filings	No Filings	1	1	100%
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>CHEROKEE INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>CHUBB INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
ACE AMERICAN INSURANCE COMPANY	*	*	*	*	*	*
ACE INSURANCE	*	*	*	*	*	*
CA046 CHUBB INSURANCE	*	*	*	*	*	*
CA090 FEDERAL INSURANCE	*	*	*	*	*	*
INDEMNITY INS CO OF NORTH AMERICA	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>CHUBB INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	2	2	100%	1	1	100%
CA070 CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA110 CONSTITUTION STATE SERVICES	12	6	50%	6	5	83%
CA116 CORVEL ENTERPRISE COMP	5	3	60%	2	1	50%
CA160 ESIS	17	12	71%	11	7	64%
CA190 GALLAGHER BASSETT SERVICES	27	22	81%	8	8	100%
CA204 HELMSMAN MANAGEMENT SERVICES	9	6	67%	2	2	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	80	76	95%	20	20	100%
CA340 YORK RISK SERVICES	5	4	80%	1	1	100%
<b>TPA Total</b>	<b>158</b>	<b>132</b>	<b>84%</b> ▼	<b>51</b>	<b>45</b>	<b>88%</b> ▲
<b>CHUBB INSURANCE Group Total</b>	<b>158</b>	<b>132</b>	<b>84%</b> ▼	<b>51</b>	<b>45</b>	<b>88%</b> ▲
<b>CHURCH MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA084 CHURCH MUTUAL INSURANCE	3	0	0%	3	2	67%
<b>Total</b>	<b>3</b>	<b>0</b>	<b>0%</b> ▼	<b>3</b>	<b>2</b>	<b>67%</b> ▼
<b>CHURCH MUTUAL INSURANCE Group Total</b>	<b>3</b>	<b>0</b>	<b>0%</b> ▼	<b>3</b>	<b>2</b>	<b>67%</b> ▼
<b>CIANBRO CORPORATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA085 CIANBRO CORPORATION	1	1	100%	1	0	0%
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>CIANBRO CORPORATION Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>0</b>	<b>0%</b> ▼

Maine Workers' Compensation Board

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▲ Indicates benchmark met or exceeded

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## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

First Quarter

1/1/2018 - 3/31/2018

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>CINCINNATI INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CINCINNATI CASUALTY	*	*	*	*	*	*
CA438 CINCINNATI INSURANCE	No Filings	No Filings	No Filings	1	1	100%
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>
<b>CINCINNATI INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>
<b>CLAIMS MANAGEMENT (WALMART)</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA100 CLAIMS MANAGEMENT (WALMART)	59	53	90%	10	10	100%
<b>Total</b>	<b>59</b>	<b>53</b>	<b>90% ▲</b>	<b>10</b>	<b>10</b>	<b>100% ▲</b>
<b>CLAIMS MANAGEMENT (WALMART) Group Total</b>	<b>59</b>	<b>53</b>	<b>90% ▲</b>	<b>10</b>	<b>10</b>	<b>100% ▲</b>
<b>CNA INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA050 CONTINENTAL CASUALTY	6	5	83%	3	2	67%
<b>Total</b>	<b>6</b>	<b>5</b>	<b>83% ▼</b>	<b>3</b>	<b>2</b>	<b>67% ▼</b>
<b>CNA INSURANCE Group Total</b>	<b>6</b>	<b>5</b>	<b>83% ▼</b>	<b>3</b>	<b>2</b>	<b>67% ▼</b>
<b>CONSTITUTION STATE SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA110 CONSTITUTION STATE SERVICES	12	6	50%	6	5	83%
<b>Total</b>	<b>12</b>	<b>6</b>	<b>50% ▼</b>	<b>6</b>	<b>5</b>	<b>83% ▼</b>
<b>CONSTITUTION STATE SERVICES Group Total</b>	<b>12</b>	<b>6</b>	<b>50% ▼</b>	<b>6</b>	<b>5</b>	<b>83% ▼</b>
<b>CORVEL ENTERPRISE COMP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA116 CORVEL ENTERPRISE COMP	16	9	56%	7	3	43%
<b>Total</b>	<b>16</b>	<b>9</b>	<b>56% ▼</b>	<b>7</b>	<b>3</b>	<b>43% ▼</b>
<b>CORVEL ENTERPRISE COMP Group Total</b>	<b>16</b>	<b>9</b>	<b>56% ▼</b>	<b>7</b>	<b>3</b>	<b>43% ▼</b>
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	6	3	50%	7	5	71%
<b>Total</b>	<b>6</b>	<b>3</b>	<b>50% ▼</b>	<b>7</b>	<b>5</b>	<b>71% ▼</b>
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES Group Total</b>	<b>6</b>	<b>3</b>	<b>50% ▼</b>	<b>7</b>	<b>5</b>	<b>71% ▼</b>
<b>CROSS INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA093 CROSS INSURANCE	297	284	96%	50	46	92%
<b>Total</b>	<b>297</b>	<b>284</b>	<b>96% ▲</b>	<b>50</b>	<b>46</b>	<b>92% ▲</b>
<b>CROSS INSURANCE Group Total</b>	<b>297</b>	<b>284</b>	<b>96% ▲</b>	<b>50</b>	<b>46</b>	<b>92% ▲</b>
<b>EASTERN ALLIANCE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA141 EASTERN ALLIANCE INSURANCE	25	15	60%	9	6	67%
<b>Total</b>	<b>25</b>	<b>15</b>	<b>60% ▼</b>	<b>9</b>	<b>6</b>	<b>67% ▼</b>
<b>EASTERN ALLIANCE INSURANCE Group Total</b>	<b>25</b>	<b>15</b>	<b>60% ▼</b>	<b>9</b>	<b>6</b>	<b>67% ▼</b>

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## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

First Quarter

1/1/2018 - 3/31/2018

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<b>ELECTRIC INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA150 ELECTRIC INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>ELECTRIC INSURANCE TPA Administered Claims</b>						
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	4	4	100%	1	1	100%
<b>TPA Total</b>	<b>4</b>	<b>4</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>ELECTRIC INSURANCE Group Total</b>	<b>4</b>	<b>4</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>ESIS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA160 ESIS	28	15	54%	18	9	50%
<b>Total</b>	<b>28</b>	<b>15</b>	<b>54%</b> ▼	<b>18</b>	<b>9</b>	<b>50%</b> ▼
<b>ESIS Group Total</b>	<b>28</b>	<b>15</b>	<b>54%</b> ▼	<b>18</b>	<b>9</b>	<b>50%</b> ▼
<b>FEDERATED MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA091 FEDERATED MUTUAL INSURANCE	5	2	40%	2	1	50%
<b>Total</b>	<b>5</b>	<b>2</b>	<b>40%</b> ▼	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>FEDERATED MUTUAL INSURANCE Group Total</b>	<b>5</b>	<b>2</b>	<b>40%</b> ▼	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>FRANKENMUTH INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA274 PATRIOT INSURANCE	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>FRANKENMUTH INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>FUTURECOMP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA175 FUTURECOMP	53	52	98%	14	13	93%
<b>Total</b>	<b>53</b>	<b>52</b>	<b>98%</b> ▲	<b>14</b>	<b>13</b>	<b>93%</b> ▲
<b>FUTURECOMP Group Total</b>	<b>53</b>	<b>52</b>	<b>98%</b> ▲	<b>14</b>	<b>13</b>	<b>93%</b> ▲
<b>GALLAGHER BASSETT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA190 GALLAGHER BASSETT SERVICES	102	75	74%	29	22	76%
<b>Total</b>	<b>102</b>	<b>75</b>	<b>74%</b> ▼	<b>29</b>	<b>22</b>	<b>76%</b> ▼
<b>GALLAGHER BASSETT SERVICES Group Total</b>	<b>102</b>	<b>75</b>	<b>74%</b> ▼	<b>29</b>	<b>22</b>	<b>76%</b> ▼
<b>GREAT FALLS INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
GREAT FALLS INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>GREAT FALLS INSURANCE TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	45	31	69%	15	15	100%
<b>TPA Total</b>	<b>45</b>	<b>31</b>	<b>69%</b> ▼	<b>15</b>	<b>15</b>	<b>100%</b> ▲
<b>GREAT FALLS INSURANCE Group Total</b>	<b>45</b>	<b>31</b>	<b>69%</b> ▼	<b>15</b>	<b>15</b>	<b>100%</b> ▲

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## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

First Quarter

1/1/2018 - 3/31/2018

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>GREAT WEST INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA196 GREAT WEST INSURANCE	1	0	0%	1	1	100%
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>GREAT WEST INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>GUARD INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA019 AMGUARD INSURANCE	13	4	31%	3	1	33%
CA140 EASTGUARD INSURANCE	5	2	40%	2	2	100%
CA272 NORGUARD INSURANCE	3	1	33%	No Filings	No Filings	No Filings
<b>Total</b>	<b>21</b>	<b>7</b>	<b>33%</b> ▼	<b>5</b>	<b>3</b>	<b>60%</b> ▼
<b>GUARD INSURANCE Group Total</b>	<b>21</b>	<b>7</b>	<b>33%</b> ▼	<b>5</b>	<b>3</b>	<b>60%</b> ▼
<b>HANNAFORD BROTHERS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA201 HANNAFORD BROTHERS	61	47	77%	25	19	76%
<b>Total</b>	<b>61</b>	<b>47</b>	<b>77%</b> ▼	<b>25</b>	<b>19</b>	<b>76%</b> ▼
<b>HANNAFORD BROTHERS Group Total</b>	<b>61</b>	<b>47</b>	<b>77%</b> ▼	<b>25</b>	<b>19</b>	<b>76%</b> ▼
<b>HANNOVER INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
HANNOVER INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>HANNOVER INSURANCE TPA Administered Claims</b>						
CA340 YORK RISK SERVICES	4	2	50%	1	1	100%
<b>TPA Total</b>	<b>4</b>	<b>2</b>	<b>50%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>HANNOVER INSURANCE Group Total</b>	<b>4</b>	<b>2</b>	<b>50%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>HANOVER INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA428 ALLMERICA FINANCIAL BENEFIT INSURANCE	1	1	100%	No Filings	No Filings	No Filings
CA048 CITIZENS INSURANCE COMPANY OF AMERICA	9	8	89%	5	5	100%
CA202 HANOVER INSURANCE	8	7	88%	4	3	75%
CA228 MASSACHUSETTS BAY INSURANCE	4	4	100%	1	1	100%
<b>Total</b>	<b>22</b>	<b>20</b>	<b>91%</b> ▲	<b>10</b>	<b>9</b>	<b>90%</b> ▲
<b>HANOVER INSURANCE Group Total</b>	<b>22</b>	<b>20</b>	<b>91%</b> ▲	<b>10</b>	<b>9</b>	<b>90%</b> ▲

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**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
First Quarter  
1/1/2018 - 3/31/2018

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>HARTFORD INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA188 HARTFORD ACCIDENT & INDEMNITY	1	0	0%	No Filings	No Filings	No Filings
CA185 HARTFORD CASUALTY INSURANCE	3	2	67%	1	1	100%
CA203 HARTFORD FIRE INSURANCE	7	6	86%	2	2	100%
CA186 HARTFORD INSURANCE COMPANY OF THE MIDWEST	1	1	100%	No Filings	No Filings	No Filings
CA187 HARTFORD UNDERWRITERS INSURANCE	6	3	50%	4	2	50%
CA288 PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	12	12	100%	3	3	100%
CA319 TRUMBULL INSURANCE	8	8	100%	2	2	100%
CA321 TWIN CITY FIRE INSURANCE	6	4	67%	4	4	100%
<b>Total</b>	<b>44</b>	<b>36</b>	<b>82%</b> ▼	<b>16</b>	<b>14</b>	<b>88%</b> ▲
<b>HARTFORD INSURANCE TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA116 CORVEL ENTERPRISE COMP	2	1	50%	2	2	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	11	10	91%	1	1	100%
<b>TPA Total</b>	<b>14</b>	<b>12</b>	<b>86%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>HARTFORD INSURANCE Group Total</b>	<b>58</b>	<b>48</b>	<b>83%</b> ▼	<b>19</b>	<b>17</b>	<b>89%</b> ▲
<b>HELMSMAN MANAGEMENT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA204 HELMSMAN MANAGEMENT SERVICES	19	14	74%	6	5	83%
<b>Total</b>	<b>19</b>	<b>14</b>	<b>74%</b> ▼	<b>6</b>	<b>5</b>	<b>83%</b> ▼
<b>HELMSMAN MANAGEMENT SERVICES Group Total</b>	<b>19</b>	<b>14</b>	<b>74%</b> ▼	<b>6</b>	<b>5</b>	<b>83%</b> ▼
<b>LIBERTY MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA003 AMERICAN FIRE & CASUALTY INSURANCE	5	4	80%	3	2	67%
CA380 EMPLOYERS INSURANCE OF WAUSAU	2	2	100%	No Filings	No Filings	No Filings
CA162 EXCELSIOR INSURANCE	1	1	100%	No Filings	No Filings	No Filings
CA210 LIBERTY MUTUAL INSURANCE	38	30	79%	22	18	82%
CA406 OHIO CASUALTY INSURANCE	2	1	50%	No Filings	No Filings	No Filings
CA407 OHIO SECURITY INSURANCE	7	4	57%	4	2	50%
CA283 PEERLESS INDEMNITY INSURANCE	No Filings	No Filings	No Filings	1	1	100%
CA275 PEERLESS INSURANCE	1	0	0%	No Filings	No Filings	No Filings
CA309 THE NETHERLANDS INSURANCE	2	2	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>58</b>	<b>44</b>	<b>76%</b> ▼	<b>30</b>	<b>23</b>	<b>77%</b> ▼
<b>LIBERTY MUTUAL INSURANCE Group Total</b>	<b>58</b>	<b>44</b>	<b>76%</b> ▼	<b>30</b>	<b>23</b>	<b>77%</b> ▼
<b>MAINE AUTOMOBILE DEALERS ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA220 MAINE AUTOMOBILE DEALERS ASSOCIATION	47	45	96%	13	13	100%
<b>Total</b>	<b>47</b>	<b>45</b>	<b>96%</b> ▲	<b>13</b>	<b>13</b>	<b>100%</b> ▲
<b>MAINE AUTOMOBILE DEALERS ASSOCIATION Group Total</b>	<b>47</b>	<b>45</b>	<b>96%</b> ▲	<b>13</b>	<b>13</b>	<b>100%</b> ▲

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

First Quarter

1/1/2018 - 3/31/2018

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>MAINE EMPLOYERS' MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA260 MAINE EMPLOYERS' MUTUAL INSURANCE	1422	1158	81%	366	336	92%
<b>Total</b>	<b>1422</b>	<b>1158</b>	<b>81% ▼</b>	<b>366</b>	<b>336</b>	<b>92% ▲</b>
<b>MAINE EMPLOYERS' MUTUAL INSURANCE TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	2	1	50%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>2</b>	<b>1</b>	<b>50% ▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>MAINE EMPLOYERS' MUTUAL INSURANCE Group Total</b>	<b>1424</b>	<b>1159</b>	<b>81% ▼</b>	<b>366</b>	<b>336</b>	<b>92% ▲</b>
<b>MAINE HEALTHCARE ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA234 MAINE HEALTHCARE ASSOCIATION	27	19	70%	6	4	67%
<b>Total</b>	<b>27</b>	<b>19</b>	<b>70% ▼</b>	<b>6</b>	<b>4</b>	<b>67% ▼</b>
<b>MAINE HEALTHCARE ASSOCIATION Group Total</b>	<b>27</b>	<b>19</b>	<b>70% ▼</b>	<b>6</b>	<b>4</b>	<b>67% ▼</b>
<b>MAINE MOTOR TRANSPORT ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA230 MAINE MOTOR TRANSPORT ASSOCIATION	70	68	97%	17	16	94%
<b>Total</b>	<b>70</b>	<b>68</b>	<b>97% ▲</b>	<b>17</b>	<b>16</b>	<b>94% ▲</b>
<b>MAINE MOTOR TRANSPORT ASSOCIATION Group Total</b>	<b>70</b>	<b>68</b>	<b>97% ▲</b>	<b>17</b>	<b>16</b>	<b>94% ▲</b>
<b>MAINE MUNICIPAL ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA225 MAINE MUNICIPAL ASSOCIATION	253	233	92%	83	80	96%
<b>Total</b>	<b>253</b>	<b>233</b>	<b>92% ▲</b>	<b>83</b>	<b>80</b>	<b>96% ▲</b>
<b>MAINE MUNICIPAL ASSOCIATION Group Total</b>	<b>253</b>	<b>233</b>	<b>92% ▲</b>	<b>83</b>	<b>80</b>	<b>96% ▲</b>
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA250 MAINE SCHOOL MANAGEMENT ASSOCIATION	99	89	90%	29	28	97%
<b>Total</b>	<b>99</b>	<b>89</b>	<b>90% ▲</b>	<b>29</b>	<b>28</b>	<b>97% ▲</b>
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION Group Total</b>	<b>99</b>	<b>89</b>	<b>90% ▲</b>	<b>29</b>	<b>28</b>	<b>97% ▲</b>
<b>MITSUI SUMITOMO INS CO OF AMERICA</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
MITSUI SUMITOMO INS CO OF AMERICA	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>MITSUI SUMITOMO INS CO OF AMERICA TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>
<b>MITSUI SUMITOMO INS CO OF AMERICA Group Total</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>
<b>NATIONWIDE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA291 NATIONWIDE AGRIBUSINESS INSURANCE	1	0	0%	1	0	0%
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0% ▼</b>	<b>1</b>	<b>0</b>	<b>0% ▼</b>
<b>NATIONWIDE INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0% ▼</b>	<b>1</b>	<b>0</b>	<b>0% ▼</b>

Maine Workers' Compensation Board

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Initial Indemnity Payment Benchmark: 87%

\* Indicates no claims activity

▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

First Quarter

1/1/2018 - 3/31/2018

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>NORTH RIVER INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA257 NORTH RIVER INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>NORTH RIVER INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	2	2	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NORTH RIVER INSURANCE Group Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>OLD REPUBLIC INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
OLD REPUBLIC GENERAL INSURANCE CORP.	*	*	*	*	*	*
OLD REPUBLIC INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>OLD REPUBLIC INSURANCE TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	5	4	80%	2	2	100%
CA160 ESIS	1	1	100%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	8	6	75%	1	1	100%
CA204 HELMSMAN MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	13	13	100%	3	3	100%
CA280 TRISTAR RISK ENTERPRISE MANAGEMENT	1	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>29</b>	<b>25</b>	<b>86%</b> ▲	<b>7</b>	<b>7</b>	<b>100%</b> ▲
<b>OLD REPUBLIC INSURANCE Group Total</b>	<b>29</b>	<b>25</b>	<b>86%</b> ▲	<b>7</b>	<b>7</b>	<b>100%</b> ▲
<b>PENNSYLVANIA MFG ASSN</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
MANUFACTURERS ALLIANCE INSURANCE	*	*	*	*	*	*
PENNSYLVANIA MFG ASSN	*	*	*	*	*	*
PENNSYLVANIA MFG INDEMNITY CO	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>PENNSYLVANIA MFG ASSN TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	8	6	75%	1	0	0%
CA323 THE AMERICAN EQUITY UNDERWRITERS	1	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>9</b>	<b>6</b>	<b>67%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>PENNSYLVANIA MFG ASSN Group Total</b>	<b>9</b>	<b>6</b>	<b>67%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>PROTECTIVE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA277 PROTECTIVE INSURANCE COMPANY	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>PROTECTIVE INSURANCE TPA Administered Claims</b>						
CA340 YORK RISK SERVICES	2	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>PROTECTIVE INSURANCE Group Total</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

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## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

First Quarter

1/1/2018 - 3/31/2018

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>QBE INSURANCE GROUP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
QBE INSURANCE GROUP	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>QBE INSURANCE GROUP TPA Administered Claims</b>						
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	7	6	86%	2	2	100%
<b>TPA Total</b>	<b>7</b>	<b>6</b>	<b>86%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>QBE INSURANCE GROUP Group Total</b>	<b>7</b>	<b>6</b>	<b>86%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>SAFETY NATIONAL CASUALTY CORP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
SAFETY NATIONAL CASUALTY CORP	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>SAFETY NATIONAL CASUALTY CORP TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	3	2	67%	2	2	100%
CA070 CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA116 CORVEL ENTERPRISE COMP	9	5	56%	3	0	0%
CA190 GALLAGHER BASSETT SERVICES	4	3	75%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	11	10	91%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>28</b>	<b>21</b>	<b>75%</b> ▼	<b>7</b>	<b>4</b>	<b>57%</b> ▼
<b>SAFETY NATIONAL CASUALTY CORP Group Total</b>	<b>28</b>	<b>21</b>	<b>75%</b> ▼	<b>7</b>	<b>4</b>	<b>57%</b> ▼
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	208	197	95%	48	47	98%
<b>Total</b>	<b>208</b>	<b>197</b>	<b>95%</b> ▲	<b>48</b>	<b>47</b>	<b>98%</b> ▲
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES Group Total</b>	<b>208</b>	<b>197</b>	<b>95%</b> ▲	<b>48</b>	<b>47</b>	<b>98%</b> ▲
<b>SENTRY INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA402 SENTRY CASUALTY	14	9	64%	9	8	89%
CA305 SENTRY INSURANCE	3	3	100%	3	3	100%
<b>Total</b>	<b>17</b>	<b>12</b>	<b>71%</b> ▼	<b>12</b>	<b>11</b>	<b>92%</b> ▲
<b>SENTRY INSURANCE Group Total</b>	<b>17</b>	<b>12</b>	<b>71%</b> ▼	<b>12</b>	<b>11</b>	<b>92%</b> ▲
<b>STARR INDEMNITY INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
STARR INDEMNITY INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>STARR INDEMNITY INSURANCE TPA Administered Claims</b>						
CA160 ESIS	No Filings	No Filings	No Filings	2	1	50%
CA190 GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>3</b>	<b>2</b>	<b>67%</b> ▼
<b>STARR INDEMNITY INSURANCE Group Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>3</b>	<b>2</b>	<b>67%</b> ▼

Maine Workers' Compensation Board

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▼ Indicates benchmark not met

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

First Quarter

1/1/2018 - 3/31/2018

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA307 STATE OF MAINE WORKERS' COMPENSATION TRUST	178	158	89%	42	41	98%
<b>Total</b>	<b>178</b>	<b>158</b>	<b>89% ▲</b>	<b>42</b>	<b>41</b>	<b>98% ▲</b>
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST Group Total</b>	<b>178</b>	<b>158</b>	<b>89% ▲</b>	<b>42</b>	<b>41</b>	<b>98% ▲</b>
<b>SYNERNET</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA320 SYNERNET	141	132	94%	17	15	88%
<b>Total</b>	<b>141</b>	<b>132</b>	<b>94% ▲</b>	<b>17</b>	<b>15</b>	<b>88% ▲</b>
<b>SYNERNET Group Total</b>	<b>141</b>	<b>132</b>	<b>94% ▲</b>	<b>17</b>	<b>15</b>	<b>88% ▲</b>
<b>THE AMERICAN EQUITY UNDERWRITERS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA323 THE AMERICAN EQUITY UNDERWRITERS	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0% ▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>THE AMERICAN EQUITY UNDERWRITERS Group Total</b>	<b>1</b>	<b>0</b>	<b>0% ▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>TOKIO MARINE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA414 TOKIO MARINE AMERICA INSURANCE	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0% ▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>TOKIO MARINE INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0% ▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>TRAVELERS INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA072 CHARTER OAK FIRE INSURANCE	35	27	77%	14	13	93%
CA164 FARMINGTON CASUALTY	1	0	0%	No Filings	No Filings	No Filings
CA306 STANDARD FIRE INSURANCE	4	2	50%	1	1	100%
CA347 TRAVELERS CASUALTY & SURETY	1	0	0%	No Filings	No Filings	No Filings
CA348 TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	2	0	0%	2	2	100%
CA349 TRAVELERS COMMERCIAL CASUALTY	2	1	50%	2	1	50%
CA346 TRAVELERS INDEMNITY COMPANY OF AMERICA	1	1	100%	No Filings	No Filings	No Filings
CA345 TRAVELERS PROPERTY CASUALTY INSURANCE	3	2	67%	1	1	100%
<b>Total</b>	<b>49</b>	<b>33</b>	<b>67% ▼</b>	<b>20</b>	<b>18</b>	<b>90% ▲</b>
<b>TRAVELERS INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	5	4	80%	3	2	67%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	4	4	100%	1	1	100%
CA340 YORK RISK SERVICES	1	0	0%	1	1	100%
<b>TPA Total</b>	<b>10</b>	<b>8</b>	<b>80% ▼</b>	<b>5</b>	<b>4</b>	<b>80% ▼</b>
<b>TRAVELERS INSURANCE Group Total</b>	<b>59</b>	<b>41</b>	<b>69% ▼</b>	<b>25</b>	<b>22</b>	<b>88% ▲</b>

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**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
First Quarter  
1/1/2018 - 3/31/2018

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>TRISTAR RISK ENTERPRISE MANAGEMENT</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA280 TRISTAR RISK ENTERPRISE MANAGEMENT	2	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>TRISTAR RISK ENTERPRISE MANAGEMENT Group Total</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>XL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA384 XL INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>XL INSURANCE TPA Administered Claims</b>						
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	1	1	100%	1	1	100%
CA160 ESIS	2	1	50%	2	1	50%
CA190 GALLAGHER BASSETT SERVICES	15	10	67%	4	1	25%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	14	13	93%	2	2	100%
<b>TPA Total</b>	<b>32</b>	<b>25</b>	<b>78%</b> ▼	<b>9</b>	<b>5</b>	<b>56%</b> ▼
<b>XL INSURANCE Group Total</b>	<b>32</b>	<b>25</b>	<b>78%</b> ▼	<b>9</b>	<b>5</b>	<b>56%</b> ▼
<b>YORK RISK SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA340 YORK RISK SERVICES	13	6	46%	4	4	100%
<b>Total</b>	<b>13</b>	<b>6</b>	<b>46%</b> ▼	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>YORK RISK SERVICES Group Total</b>	<b>13</b>	<b>6</b>	<b>46%</b> ▼	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>ZURICH INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA022 AMERICAN ZURICH	14	12	86%	6	4	67%
CA400 ZURICH AMERICAN INSURANCE	10	8	80%	3	2	67%
CA404 ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>25</b>	<b>21</b>	<b>84%</b> ▼	<b>9</b>	<b>6</b>	<b>67%</b> ▼
<b>ZURICH INSURANCE TPA Administered Claims</b>						
CA160 ESIS	1	0	0%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	16	12	75%	6	5	83%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	7	7	100%	1	1	100%
<b>TPA Total</b>	<b>24</b>	<b>19</b>	<b>79%</b> ▼	<b>7</b>	<b>6</b>	<b>86%</b> ▼
<b>ZURICH INSURANCE Group Total</b>	<b>49</b>	<b>40</b>	<b>82%</b> ▼	<b>16</b>	<b>12</b>	<b>75%</b> ▼

## **Appendix B**

**Insurance Group Compliance  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter 2018  
1/1/2018-3/31/2018**



**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2018 - 3/31/2018

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>ACADIA INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA010 ACADIA INSURANCE	22	18	82%	6	6	100%
<b>Total</b>	<b>22</b>	<b>18</b>	<b>82%</b> ▼	<b>6</b>	<b>6</b>	<b>100%</b> ▲
<b>ACADIA INSURANCE Group Total</b>	<b>22</b>	<b>18</b>	<b>82%</b> ▼	<b>6</b>	<b>6</b>	<b>100%</b> ▲
<b>ACCIDENT FUND INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
ACCIDENT FUND INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ACCIDENT FUND INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>ACCIDENT FUND INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>ACUITY MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA418 ACUITY MUTUAL INSURANCE	No Filings	No Filings	No Filings	1	0	0%
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>ACUITY MUTUAL INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>AIG INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA015 AIG DOMESTIC CLAIMS	36	31	86%	25	24	96%
<b>Total</b>	<b>36</b>	<b>31</b>	<b>86%</b> ▲	<b>25</b>	<b>24</b>	<b>96%</b> ▲
<b>AIG INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	No Filings	No Filings	No Filings	1	0	0%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	No Filings	No Filings	No Filings	1	1	100%
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>AIG INSURANCE Group Total</b>	<b>36</b>	<b>31</b>	<b>86%</b> ▲	<b>27</b>	<b>25</b>	<b>93%</b> ▲
<b>ALLIANZ INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
AMERICAN INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ALLIANZ INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>ALLIANZ INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2018 - 3/31/2018

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>AMERISURE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA013 AMERISURE INSURANCE	*	*	*	*	*	*
AMERISURE MUTUAL INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>AMERISURE INSURANCE TPA Administered Claims</b>						
CA280 TRISTAR RISK ENTERPRISE MANAGEMENT	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>AMERISURE INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>AMTRUST INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA437 SECURITY NATIONAL INSURANCE	No Filings	No Filings	No Filings	1	1	100%
CA342 TECHNOLOGY INSURANCE	2	2	100%	1	1	100%
CA381 WESCO INSURANCE	3	1	33%	3	2	67%
<b>Total</b>	<b>5</b>	<b>3</b>	<b>60%</b> ▼	<b>5</b>	<b>4</b>	<b>80%</b> ▼
<b>AMTRUST INSURANCE Group Total</b>	<b>5</b>	<b>3</b>	<b>60%</b> ▼	<b>5</b>	<b>4</b>	<b>80%</b> ▼
<b>ARCH INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
ARCH INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ARCH INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	0	0%	No Filings	No Filings	No Filings
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	6	5	83%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	2	1	50%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	5	5	100%	4	4	100%
CA340 YORK RISK SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>15</b>	<b>12</b>	<b>80%</b> ▼	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>ARCH INSURANCE Group Total</b>	<b>15</b>	<b>12</b>	<b>80%</b> ▼	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>BATH IRON WORKS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA036 BATH IRON WORKS	12	11	92%	15	12	80%
<b>Total</b>	<b>12</b>	<b>11</b>	<b>92%</b> ▲	<b>15</b>	<b>12</b>	<b>80%</b> ▼
<b>BATH IRON WORKS Group Total</b>	<b>12</b>	<b>11</b>	<b>92%</b> ▲	<b>15</b>	<b>12</b>	<b>80%</b> ▼
<b>BROADSPIRE SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA040 BROADSPIRE SERVICES	4	3	75%	1	1	100%
<b>Total</b>	<b>4</b>	<b>3</b>	<b>75%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>BROADSPIRE SERVICES Group Total</b>	<b>4</b>	<b>3</b>	<b>75%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲

Maine Workers' Compensation Board

Initial MOP Filing Benchmark: 85%

Initial Indemnity NOC Benchmark: 90%

\* Indicates no claims activity

▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2018 - 3/31/2018

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>CANNON COCHRAN MANAGEMENT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA070 CANNON COCHRAN MANAGEMENT SERVICES	33	31	94%	13	12	92%
<b>Total</b>	<b>33</b>	<b>31</b>	<b>94% ▲</b>	<b>13</b>	<b>12</b>	<b>92% ▲</b>
<b>CANNON COCHRAN MANAGEMENT SERVICES Group Total</b>	<b>33</b>	<b>31</b>	<b>94% ▲</b>	<b>13</b>	<b>12</b>	<b>92% ▲</b>
<b>CHEROKEE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA044 CHEROKEE INSURANCE	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0% ▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CHEROKEE INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0% ▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CHUBB INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
ACE AMERICAN INSURANCE COMPANY	*	*	*	*	*	*
ACE INSURANCE	*	*	*	*	*	*
CA046 CHUBB INSURANCE	*	*	*	*	*	*
CA090 FEDERAL INSURANCE	*	*	*	*	*	*
INDEMNITY INS CO OF NORTH AMERICA	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>CHUBB INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA070 CANNON COCHRAN MANAGEMENT SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA110 CONSTITUTION STATE SERVICES	6	5	83%	6	5	83%
CA116 CORVEL ENTERPRISE COMP	2	1	50%	No Filings	No Filings	No Filings
CA160 ESIS	11	7	64%	3	3	100%
CA190 GALLAGHER BASSETT SERVICES	8	8	100%	3	2	67%
CA204 HELMSMAN MANAGEMENT SERVICES	2	2	100%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	20	20	100%	18	18	100%
CA340 YORK RISK SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>51</b>	<b>45</b>	<b>88% ▲</b>	<b>32</b>	<b>30</b>	<b>94% ▲</b>
<b>CHUBB INSURANCE Group Total</b>	<b>51</b>	<b>45</b>	<b>88% ▲</b>	<b>32</b>	<b>30</b>	<b>94% ▲</b>
<b>CHURCH MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA084 CHURCH MUTUAL INSURANCE	3	1	33%	No Filings	No Filings	No Filings
<b>Total</b>	<b>3</b>	<b>1</b>	<b>33% ▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CHURCH MUTUAL INSURANCE Group Total</b>	<b>3</b>	<b>1</b>	<b>33% ▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CIANBRO CORPORATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA085 CIANBRO CORPORATION	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0% ▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CIANBRO CORPORATION Group Total</b>	<b>1</b>	<b>0</b>	<b>0% ▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2018 - 3/31/2018

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage		Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage	
<b>CINCINNATI INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>		<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>	
CINCINNATI CASUALTY	*	*	*		*	*	*	
CA438 CINCINNATI INSURANCE	1	1	100%		No Filings	No Filings	No Filings	
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>▲</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	
<b>CINCINNATI INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>▲</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	
<b>CLAIMS MANAGEMENT (WALMART)</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>		<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>	
CA100 CLAIMS MANAGEMENT (WALMART)	10	7	70%		10	9	90%	
<b>Total</b>	<b>10</b>	<b>7</b>	<b>70%</b>	<b>▼</b>	<b>10</b>	<b>9</b>	<b>90%</b>	<b>▲</b>
<b>CLAIMS MANAGEMENT (WALMART) Group Total</b>	<b>10</b>	<b>7</b>	<b>70%</b>	<b>▼</b>	<b>10</b>	<b>9</b>	<b>90%</b>	<b>▲</b>
<b>CNA INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>		<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>	
CA050 CONTINENTAL CASUALTY	3	2	67%		No Filings	No Filings	No Filings	
<b>Total</b>	<b>3</b>	<b>2</b>	<b>67%</b>	<b>▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	
<b>CNA INSURANCE Group Total</b>	<b>3</b>	<b>2</b>	<b>67%</b>	<b>▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	
<b>CONSTITUTION STATE SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>		<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>	
CA110 CONSTITUTION STATE SERVICES	6	5	83%		6	5	83%	
<b>Total</b>	<b>6</b>	<b>5</b>	<b>83%</b>	<b>▼</b>	<b>6</b>	<b>5</b>	<b>83%</b>	<b>▼</b>
<b>CONSTITUTION STATE SERVICES Group Total</b>	<b>6</b>	<b>5</b>	<b>83%</b>	<b>▼</b>	<b>6</b>	<b>5</b>	<b>83%</b>	<b>▼</b>
<b>CORVEL ENTERPRISE COMP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>		<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>	
CA116 CORVEL ENTERPRISE COMP	7	4	57%		1	1	100%	
<b>Total</b>	<b>7</b>	<b>4</b>	<b>57%</b>	<b>▼</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>▲</b>
<b>CORVEL ENTERPRISE COMP Group Total</b>	<b>7</b>	<b>4</b>	<b>57%</b>	<b>▼</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>▲</b>
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>		<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>	
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	7	6	86%		No Filings	No Filings	No Filings	
<b>Total</b>	<b>7</b>	<b>6</b>	<b>86%</b>	<b>▲</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES Group Total</b>	<b>7</b>	<b>6</b>	<b>86%</b>	<b>▲</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	
<b>CROSS INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>		<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>	
CA093 CROSS INSURANCE	50	46	92%		47	47	100%	
<b>Total</b>	<b>50</b>	<b>46</b>	<b>92%</b>	<b>▲</b>	<b>47</b>	<b>47</b>	<b>100%</b>	<b>▲</b>
<b>CROSS INSURANCE Group Total</b>	<b>50</b>	<b>46</b>	<b>92%</b>	<b>▲</b>	<b>47</b>	<b>47</b>	<b>100%</b>	<b>▲</b>
<b>EASTERN ALLIANCE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>		<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>	
CA141 EASTERN ALLIANCE INSURANCE	9	6	67%		1	1	100%	
<b>Total</b>	<b>9</b>	<b>6</b>	<b>67%</b>	<b>▼</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>▲</b>
<b>EASTERN ALLIANCE INSURANCE Group Total</b>	<b>9</b>	<b>6</b>	<b>67%</b>	<b>▼</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>▲</b>

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2018 - 3/31/2018

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>ELECTRIC INSURANCE</b> CA150 ELECTRIC INSURANCE	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
Total	*	*	*	*	*	*
<b>ELECTRIC INSURANCE TPA Administered Claims</b> CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
TPA Total	1	1	100% ▲	1	1	100% ▲
<b>ELECTRIC INSURANCE Group Total</b>	1	1	100% ▲	1	1	100% ▲
<b>ESIS</b> CA160 ESIS	MOPs Filed 18	Timely MOPs 9	Compliance 50%	NOCs Filed 5	Timely NOCs 4	Compliance 80%
Total	18	9	50% ▼	5	4	80% ▼
<b>ESIS Group Total</b>	18	9	50% ▼	5	4	80% ▼
<b>FEDERATED MUTUAL INSURANCE</b> CA091 FEDERATED MUTUAL INSURANCE	MOPs Filed 2	Timely MOPs 0	Compliance 0%	NOCs Filed 1	Timely NOCs 0	Compliance 0%
Total	2	0	0% ▼	1	0	0% ▼
<b>FEDERATED MUTUAL INSURANCE Group Total</b>	2	0	0% ▼	1	0	0% ▼
<b>FRANKENMUTH INSURANCE</b> CA274 PATRIOT INSURANCE	MOPs Filed No Filings	Timely MOPs No Filings	Compliance No Filings	NOCs Filed No Filings	Timely NOCs No Filings	Compliance No Filings
Total	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>FRANKENMUTH INSURANCE Group Total</b>	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>FUTURECOMP</b> CA175 FUTURECOMP	MOPs Filed 14	Timely MOPs 11	Compliance 79%	NOCs Filed 13	Timely NOCs 13	Compliance 100%
Total	14	11	79% ▼	13	13	100% ▲
<b>FUTURECOMP Group Total</b>	14	11	79% ▼	13	13	100% ▲
<b>GALLAGHER BASSETT SERVICES</b> CA190 GALLAGHER BASSETT SERVICES	MOPs Filed 29	Timely MOPs 23	Compliance 79%	NOCs Filed 15	Timely NOCs 11	Compliance 73%
Total	29	23	79% ▼	15	11	73% ▼
<b>GALLAGHER BASSETT SERVICES Group Total</b>	29	23	79% ▼	15	11	73% ▼
<b>GREAT FALLS INSURANCE</b> GREAT FALLS INSURANCE	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
Total	*	*	*	*	*	*
<b>GREAT FALLS INSURANCE TPA Administered Claims</b> CA070 CANNON COCHRAN MANAGEMENT SERVICES	15	15	100%	6	6	100%
TPA Total	15	15	100% ▲	6	6	100% ▲
<b>GREAT FALLS INSURANCE Group Total</b>	15	15	100% ▲	6	6	100% ▲

Maine Workers' Compensation Board

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\* Indicates no claims activity

▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2018 - 3/31/2018

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>GREAT WEST INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA196 GREAT WEST INSURANCE	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>GREAT WEST INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>GUARD INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA019 AMGUARD INSURANCE	3	1	33%	No Filings	No Filings	No Filings
CA140 EASTGUARD INSURANCE	2	1	50%	No Filings	No Filings	No Filings
CA272 NORGUARD INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>5</b>	<b>2</b>	<b>40% ▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>GUARD INSURANCE Group Total</b>	<b>5</b>	<b>2</b>	<b>40% ▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>HANNAFORD BROTHERS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA201 HANNAFORD BROTHERS	25	15	60%	5	4	80%
<b>Total</b>	<b>25</b>	<b>15</b>	<b>60% ▼</b>	<b>5</b>	<b>4</b>	<b>80% ▼</b>
<b>HANNAFORD BROTHERS Group Total</b>	<b>25</b>	<b>15</b>	<b>60% ▼</b>	<b>5</b>	<b>4</b>	<b>80% ▼</b>
<b>HANNOVER INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
HANNOVER INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>HANNOVER INSURANCE TPA Administered Claims</b>						
CA340 YORK RISK SERVICES	1	1	100%	1	1	100%
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>
<b>HANNOVER INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>
<b>HANOVER INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA428 ALLMERICA FINANCIAL BENEFIT INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA048 CITIZENS INSURANCE COMPANY OF AMERICA	5	4	80%	1	1	100%
CA202 HANOVER INSURANCE	4	3	75%	No Filings	No Filings	No Filings
CA228 MASSACHUSETTS BAY INSURANCE	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>10</b>	<b>8</b>	<b>80% ▼</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>
<b>HANOVER INSURANCE Group Total</b>	<b>10</b>	<b>8</b>	<b>80% ▼</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>

Maine Workers' Compensation Board

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▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2018 - 3/31/2018

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>HARTFORD INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA188 HARTFORD ACCIDENT & INDEMNITY	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA185 HARTFORD CASUALTY INSURANCE	1	1	100%	No Filings	No Filings	No Filings
CA203 HARTFORD FIRE INSURANCE	2	2	100%	No Filings	No Filings	No Filings
CA186 HARTFORD INSURANCE COMPANY OF THE MIDWEST	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA187 HARTFORD UNDERWRITERS INSURANCE	4	2	50%	1	1	100%
CA288 PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	3	2	67%	1	1	100%
CA319 TRUMBULL INSURANCE	2	2	100%	3	3	100%
CA321 TWIN CITY FIRE INSURANCE	4	4	100%	2	2	100%
<b>Total</b>	<b>16</b>	<b>13</b>	<b>81%</b> ▼	<b>7</b>	<b>7</b>	<b>100%</b> ▲
<b>HARTFORD INSURANCE TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA116 CORVEL ENTERPRISE COMP	2	2	100%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	4	4	100%
<b>TPA Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>HARTFORD INSURANCE Group Total</b>	<b>19</b>	<b>16</b>	<b>84%</b> ▼	<b>11</b>	<b>11</b>	<b>100%</b> ▲
<b>HELMSMAN MANAGEMENT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA204 HELMSMAN MANAGEMENT SERVICES	6	4	67%	1	1	100%
<b>Total</b>	<b>6</b>	<b>4</b>	<b>67%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>HELMSMAN MANAGEMENT SERVICES Group Total</b>	<b>6</b>	<b>4</b>	<b>67%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>LIBERTY MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA003 AMERICAN FIRE & CASUALTY INSURANCE	3	2	67%	No Filings	No Filings	No Filings
CA380 EMPLOYERS INSURANCE OF WAUSAU	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA162 EXCELSIOR INSURANCE	No Filings	No Filings	No Filings	1	1	100%
CA210 LIBERTY MUTUAL INSURANCE	22	18	82%	7	5	71%
CA406 OHIO CASUALTY INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA407 OHIO SECURITY INSURANCE	4	1	25%	No Filings	No Filings	No Filings
CA283 PEERLESS INDEMNITY INSURANCE	1	0	0%	No Filings	No Filings	No Filings
CA275 PEERLESS INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA309 THE NETHERLANDS INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>30</b>	<b>21</b>	<b>70%</b> ▼	<b>8</b>	<b>6</b>	<b>75%</b> ▼
<b>LIBERTY MUTUAL INSURANCE Group Total</b>	<b>30</b>	<b>21</b>	<b>70%</b> ▼	<b>8</b>	<b>6</b>	<b>75%</b> ▼
<b>MAINE AUTOMOBILE DEALERS ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA220 MAINE AUTOMOBILE DEALERS ASSOCIATION	13	13	100%	6	6	100%
<b>Total</b>	<b>13</b>	<b>13</b>	<b>100%</b> ▲	<b>6</b>	<b>6</b>	<b>100%</b> ▲
<b>MAINE AUTOMOBILE DEALERS ASSOCIATION Group Total</b>	<b>13</b>	<b>13</b>	<b>100%</b> ▲	<b>6</b>	<b>6</b>	<b>100%</b> ▲

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**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2018 - 3/31/2018

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage		Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage	
<b>MAINE EMPLOYERS' MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>		<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>	
CA260 MAINE EMPLOYERS' MUTUAL INSURANCE	366	335	92%		233	215	92%	
<b>Total</b>	<b>366</b>	<b>335</b>	<b>92%</b>	<b>▲</b>	<b>233</b>	<b>215</b>	<b>92%</b>	<b>▲</b>
<b>MAINE EMPLOYERS' MUTUAL INSURANCE TPA Administered Claims</b>								
CA070 CANNON COCHRAN MANAGEMENT SERVICES	No Filings	No Filings	No Filings		No Filings	No Filings	No Filings	
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>		<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	
<b>MAINE EMPLOYERS' MUTUAL INSURANCE Group Total</b>	<b>366</b>	<b>335</b>	<b>92%</b>	<b>▲</b>	<b>233</b>	<b>215</b>	<b>92%</b>	<b>▲</b>
<b>MAINE HEALTHCARE ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>		<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>	
CA234 MAINE HEALTHCARE ASSOCIATION	6	4	67%		6	6	100%	
<b>Total</b>	<b>6</b>	<b>4</b>	<b>67%</b>	<b>▼</b>	<b>6</b>	<b>6</b>	<b>100%</b>	<b>▲</b>
<b>MAINE HEALTHCARE ASSOCIATION Group Total</b>	<b>6</b>	<b>4</b>	<b>67%</b>	<b>▼</b>	<b>6</b>	<b>6</b>	<b>100%</b>	<b>▲</b>
<b>MAINE MOTOR TRANSPORT ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>		<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>	
CA230 MAINE MOTOR TRANSPORT ASSOCIATION	17	17	100%		13	13	100%	
<b>Total</b>	<b>17</b>	<b>17</b>	<b>100%</b>	<b>▲</b>	<b>13</b>	<b>13</b>	<b>100%</b>	<b>▲</b>
<b>MAINE MOTOR TRANSPORT ASSOCIATION Group Total</b>	<b>17</b>	<b>17</b>	<b>100%</b>	<b>▲</b>	<b>13</b>	<b>13</b>	<b>100%</b>	<b>▲</b>
<b>MAINE MUNICIPAL ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>		<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>	
CA225 MAINE MUNICIPAL ASSOCIATION	83	76	92%		62	62	100%	
<b>Total</b>	<b>83</b>	<b>76</b>	<b>92%</b>	<b>▲</b>	<b>62</b>	<b>62</b>	<b>100%</b>	<b>▲</b>
<b>MAINE MUNICIPAL ASSOCIATION Group Total</b>	<b>83</b>	<b>76</b>	<b>92%</b>	<b>▲</b>	<b>62</b>	<b>62</b>	<b>100%</b>	<b>▲</b>
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>		<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>	
CA250 MAINE SCHOOL MANAGEMENT ASSOCIATION	29	28	97%		8	8	100%	
<b>Total</b>	<b>29</b>	<b>28</b>	<b>97%</b>	<b>▲</b>	<b>8</b>	<b>8</b>	<b>100%</b>	<b>▲</b>
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION Group Total</b>	<b>29</b>	<b>28</b>	<b>97%</b>	<b>▲</b>	<b>8</b>	<b>8</b>	<b>100%</b>	<b>▲</b>
<b>MITSUI SUMITOMO INS CO OF AMERICA</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>		<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>	
MITSUI SUMITOMO INS CO OF AMERICA	*	*	*		*	*	*	
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>		<b>*</b>	<b>*</b>	<b>*</b>	
<b>MITSUI SUMITOMO INS CO OF AMERICA TPA Administered Claims</b>								
CA190 GALLAGHER BASSETT SERVICES	1	1	100%		No Filings	No Filings	No Filings	
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>▲</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	
<b>MITSUI SUMITOMO INS CO OF AMERICA Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>▲</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	
<b>NATIONWIDE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>		<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>	
CA291 NATIONWIDE AGRIBUSINESS INSURANCE	1	0	0%		No Filings	No Filings	No Filings	
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b>	<b>▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	
<b>NATIONWIDE INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b>	<b>▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	

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Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2018 - 3/31/2018

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>NORTH RIVER INSURANCE</b> CA257 NORTH RIVER INSURANCE	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
<b>Total</b>	*	*	*	*	*	*
<b>NORTH RIVER INSURANCE TPA Administered Claims</b> CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>NORTH RIVER INSURANCE Group Total</b>	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>OLD REPUBLIC INSURANCE</b> OLD REPUBLIC GENERAL INSURANCE CORP. OLD REPUBLIC INSURANCE	MOPs Filed * *	Timely MOPs * *	Compliance * *	NOCs Filed * *	Timely NOCs * *	Compliance * *
<b>Total</b>	*	*	*	*	*	*
<b>OLD REPUBLIC INSURANCE TPA Administered Claims</b> CA070 CANNON COCHRAN MANAGEMENT SERVICES CA160 ESIS CA190 GALLAGHER BASSETT SERVICES CA204 HELMSMAN MANAGEMENT SERVICES CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES CA280 TRISTAR RISK ENTERPRISE MANAGEMENT	2 No Filings 1 1 3 No Filings	2 No Filings 1 1 3 No Filings	100% No Filings 100% 100% 100% No Filings	No Filings 1 1 No Filings No Filings 1	No Filings 1 1 No Filings No Filings 0	No Filings 100% 100% No Filings No Filings 0%
<b>TPA Total</b>	7	7	100% ▲	3	2	67% ▼
<b>OLD REPUBLIC INSURANCE Group Total</b>	7	7	100% ▲	3	2	67% ▼
<b>PENNSYLVANIA MFG ASSN</b> MANUFACTURERS ALLIANCE INSURANCE PENNSYLVANIA MFG ASSN PENNSYLVANIA MFG INDEMNITY CO	MOPs Filed * * *	Timely MOPs * * *	Compliance * * *	NOCs Filed * * *	Timely NOCs * * *	Compliance * * *
<b>Total</b>	*	*	*	*	*	*
<b>PENNSYLVANIA MFG ASSN TPA Administered Claims</b> CA190 GALLAGHER BASSETT SERVICES CA323 THE AMERICAN EQUITY UNDERWRITERS	1 No Filings	0 No Filings	0% No Filings	2 No Filings	1 No Filings	50% No Filings
<b>TPA Total</b>	1	0	0% ▼	2	1	50% ▼
<b>PENNSYLVANIA MFG ASSN Group Total</b>	1	0	0% ▼	2	1	50% ▼
<b>PROTECTIVE INSURANCE</b> CA277 PROTECTIVE INSURANCE COMPANY	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
<b>Total</b>	*	*	*	*	*	*
<b>PROTECTIVE INSURANCE TPA Administered Claims</b> CA340 YORK RISK SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>PROTECTIVE INSURANCE Group Total</b>	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings

Maine Workers' Compensation Board

Initial MOP Filing Benchmark: 85%

Initial Indemnity NOC Benchmark: 90%

\* Indicates no claims activity

▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2018 - 3/31/2018

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>QBE INSURANCE GROUP</b> QBE INSURANCE GROUP	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
<b>Total</b>	*	*	*	*	*	*
<b>QBE INSURANCE GROUP TPA Administered Claims</b> CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	1	1	100%
<b>TPA Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>QBE INSURANCE GROUP Group Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>SAFETY NATIONAL CASUALTY CORP</b> SAFETY NATIONAL CASUALTY CORP	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
<b>Total</b>	*	*	*	*	*	*
<b>SAFETY NATIONAL CASUALTY CORP TPA Administered Claims</b> CA040 BROADSPIRE SERVICES CA070 CANNON COCHRAN MANAGEMENT SERVICES CA116 CORVEL ENTERPRISE COMP CA190 GALLAGHER BASSETT SERVICES CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	2 1 3 1 No Filings	2 1 1 1 No Filings	100% 100% 33% 100% No Filings	No Filings No Filings 1 No Filings 4	No Filings No Filings 1 No Filings 3	No Filings No Filings 100% No Filings 75%
<b>TPA Total</b>	<b>7</b>	<b>5</b>	<b>71%</b> ▼	<b>5</b>	<b>4</b>	<b>80%</b> ▼
<b>SAFETY NATIONAL CASUALTY CORP Group Total</b>	<b>7</b>	<b>5</b>	<b>71%</b> ▼	<b>5</b>	<b>4</b>	<b>80%</b> ▼
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES</b> CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	MOPs Filed 48	Timely MOPs 47	Compliance 98%	NOCs Filed 46	Timely NOCs 45	Compliance 98%
<b>Total</b>	<b>48</b>	<b>47</b>	<b>98%</b> ▲	<b>46</b>	<b>45</b>	<b>98%</b> ▲
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES Group Total</b>	<b>48</b>	<b>47</b>	<b>98%</b> ▲	<b>46</b>	<b>45</b>	<b>98%</b> ▲
<b>SENTRY INSURANCE</b> CA402 SENTRY CASUALTY CA305 SENTRY INSURANCE	MOPs Filed 9 3	Timely MOPs 8 3	Compliance 89% 100%	NOCs Filed 1 No Filings	Timely NOCs 1 No Filings	Compliance 100% No Filings
<b>Total</b>	<b>12</b>	<b>11</b>	<b>92%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>SENTRY INSURANCE Group Total</b>	<b>12</b>	<b>11</b>	<b>92%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>STARR INDEMNITY INSURANCE</b> STARR INDEMNITY INSURANCE	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
<b>Total</b>	*	*	*	*	*	*
<b>STARR INDEMNITY INSURANCE TPA Administered Claims</b> CA160 ESIS CA190 GALLAGHER BASSETT SERVICES CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	2 1 No Filings	1 1 No Filings	50% 100% No Filings	No Filings No Filings No Filings	No Filings No Filings No Filings	No Filings No Filings No Filings
<b>TPA Total</b>	<b>3</b>	<b>2</b>	<b>67%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>STARR INDEMNITY INSURANCE Group Total</b>	<b>3</b>	<b>2</b>	<b>67%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2018 - 3/31/2018

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST</b> CA307 STATE OF MAINE WORKERS' COMPENSATION TRUST	MOPs Filed 42	Timely MOPs 41	Compliance 98%	NOCs Filed 32	Timely NOCs 31	Compliance 97%
<b>Total</b>	<b>42</b>	<b>41</b>	<b>98%</b> ▲	<b>32</b>	<b>31</b>	<b>97%</b> ▲
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST Group Total</b>	<b>42</b>	<b>41</b>	<b>98%</b> ▲	<b>32</b>	<b>31</b>	<b>97%</b> ▲
<b>SYNERNET</b> CA320 SYNERNET	MOPs Filed 17	Timely MOPs 16	Compliance 94%	NOCs Filed 37	Timely NOCs 36	Compliance 97%
<b>Total</b>	<b>17</b>	<b>16</b>	<b>94%</b> ▲	<b>37</b>	<b>36</b>	<b>97%</b> ▲
<b>SYNERNET Group Total</b>	<b>17</b>	<b>16</b>	<b>94%</b> ▲	<b>37</b>	<b>36</b>	<b>97%</b> ▲
<b>THE AMERICAN EQUITY UNDERWRITERS</b> CA323 THE AMERICAN EQUITY UNDERWRITERS	MOPs Filed No Filings	Timely MOPs No Filings	Compliance No Filings	NOCs Filed No Filings	Timely NOCs No Filings	Compliance No Filings
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>THE AMERICAN EQUITY UNDERWRITERS Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>TOKIO MARINE INSURANCE</b> CA414 TOKIO MARINE AMERICA INSURANCE	MOPs Filed No Filings	Timely MOPs No Filings	Compliance No Filings	NOCs Filed No Filings	Timely NOCs No Filings	Compliance No Filings
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>TOKIO MARINE INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>TRAVELERS INSURANCE</b> CA072 CHARTER OAK FIRE INSURANCE CA164 FARMINGTON CASUALTY CA306 STANDARD FIRE INSURANCE CA347 TRAVELERS CASUALTY & SURETY CA348 TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA CA349 TRAVELERS COMMERCIAL CASUALTY CA346 TRAVELERS INDEMNITY COMPANY OF AMERICA CA345 TRAVELERS PROPERTY CASUALTY INSURANCE	MOPs Filed 14 No Filings 1 No Filings 2 2 No Filings 1	Timely MOPs 10 No Filings 1 No Filings 1 1 No Filings 0	Compliance 71% No Filings 100% No Filings 50% 50% No Filings 0%	NOCs Filed 11 No Filings 1 No Filings No Filings No Filings 1 No Filings	Timely NOCs 11 No Filings 1 No Filings No Filings No Filings 1 No Filings	Compliance 100% No Filings 100% No Filings No Filings No Filings 100% No Filings
<b>Total</b>	<b>20</b>	<b>13</b>	<b>65%</b> ▼	<b>13</b>	<b>13</b>	<b>100%</b> ▲
<b>TRAVELERS INSURANCE TPA Administered Claims</b> CA190 GALLAGHER BASSETT SERVICES CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES CA340 YORK RISK SERVICES	3 1 1	2 1 0	67% 100% 0%	No Filings 2 No Filings	No Filings 2 No Filings	No Filings 100% No Filings
<b>TPA Total</b>	<b>5</b>	<b>3</b>	<b>60%</b> ▼	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>TRAVELERS INSURANCE Group Total</b>	<b>25</b>	<b>16</b>	<b>64%</b> ▼	<b>15</b>	<b>15</b>	<b>100%</b> ▲
<b>TRISTAR RISK ENTERPRISE MANAGEMENT</b> CA280 TRISTAR RISK ENTERPRISE MANAGEMENT	MOPs Filed No Filings	Timely MOPs No Filings	Compliance No Filings	NOCs Filed 1	Timely NOCs 0	Compliance 0%
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>TRISTAR RISK ENTERPRISE MANAGEMENT Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼

Maine Workers' Compensation Board  
Initial MOP Filing Benchmark: 85%  
Initial Indemnity NOC Benchmark: 90%

\* Indicates no claims activity  
▲ Indicates benchmark met or exceeded  
▼ Indicates benchmark not met

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2018 - 3/31/2018

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>XL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA384 XL INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>XL INSURANCE TPA Administered Claims</b>						
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA160 ESIS	2	1	50%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	4	2	50%	5	5	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	2	2	100%
<b>TPA Total</b>	<b>9</b>	<b>6</b>	<b>67%</b> ▼	<b>7</b>	<b>7</b>	<b>100%</b> ▲
<b>XL INSURANCE Group Total</b>	<b>9</b>	<b>6</b>	<b>67%</b> ▼	<b>7</b>	<b>7</b>	<b>100%</b> ▲
<b>YORK RISK SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA340 YORK RISK SERVICES	4	3	75%	1	1	100%
<b>Total</b>	<b>4</b>	<b>3</b>	<b>75%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>YORK RISK SERVICES Group Total</b>	<b>4</b>	<b>3</b>	<b>75%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>ZURICH INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA022 AMERICAN ZURICH	6	4	67%	2	2	100%
CA400 ZURICH AMERICAN INSURANCE	3	2	67%	2	2	100%
CA404 ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>9</b>	<b>6</b>	<b>67%</b> ▼	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>ZURICH INSURANCE TPA Administered Claims</b>						
CA160 ESIS	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	6	5	83%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	2	2	100%
<b>TPA Total</b>	<b>7</b>	<b>6</b>	<b>86%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>ZURICH INSURANCE Group Total</b>	<b>16</b>	<b>12</b>	<b>75%</b> ▼	<b>7</b>	<b>7</b>	<b>100%</b> ▲

Maine Workers' Compensation Board

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Initial Indemnity NOC Benchmark: 90%

\* Indicates no claims activity

▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met